

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

**112740-549**

U S APPLICATION NO. (IF KNOWN, SEE 37 CFR

**10/049933**

INTERNATIONAL APPLICATION NO.  
**PCT/DE00/01536**

INTERNATIONAL FILING DATE  
**15 May 2000**

PRIORITY DATE CLAIMED  
**17 August 1999**

TITLE OF INVENTION

**METHOD AND APPARATUS FOR DISTRIBUTING GOODS**

APPLICANT(S) FOR DO/EO/US

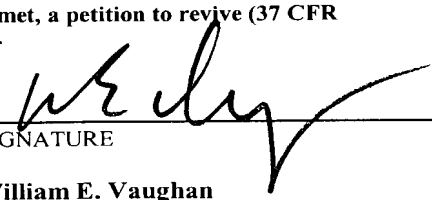
**Andrea Heilingbrunner et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

**Items 13 to 20 below concern document(s) or information included:**

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☒ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

|   |              |   |           |   |    |
|---|--------------|---|-----------|---|----|
| U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.101)<br><b>10,049933</b>   |              | INTERNATIONAL APPLICATION NO. <b>PCT/DE00/01536</b> |           | ATTORNEY'S DOCKET NO. <b>112740-549</b> |    |
| 24. The following fees are submitted:.  |              |   |           | CALCULATIONS PTO USE ONLY               |    |
| <b>BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :</b>  |              |   |           |   |    |
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . . . .                                  |              |   |           | <b>\$1040.00</b>                        |    |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO . . . . .   |              |   |           | <b>\$890.00</b>                         |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO . . . . .   |              |   |           | <b>\$740.00</b>                         |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . . . .  |              |   |           | <b>\$710.00</b>                         |    |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) . . . . .  |              |   |           | <b>\$100.00</b>                         |    |
| <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>   |              |   |           | <b>\$890.00</b>                         |    |
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).   |              |   |           | <b>\$0.00</b>                           |    |
| CLAIMS  | NUMBER FILED | NUMBER EXTRA  | RATE      |   |    |
| Total claims  | 35 - 20 =    | 15  | x \$18.00 | <b>\$270.00</b>                         |    |
| Independent claims  | 4 - 3 =      | 1   | x \$84.00 | <b>\$84.00</b>                          |    |
| Multiple Dependent Claims (check if applicable). <input type="checkbox"/>   |              |   |           | <b>\$0.00</b>                           |    |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |           | <b>\$1,244.00</b>                       |    |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.   |              |   |           | <b>\$0.00</b>                           |    |
| <b>SUBTOTAL =</b>   |              |   |           | <b>\$1,244.00</b>                       |    |
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).  |              |   |           | <b>\$0.00</b>                           |    |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |           | <b>\$1,244.00</b>                       |    |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). <input type="checkbox"/>  |              |   |           | <b>\$0.00</b>                           |    |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |           | <b>\$1,244.00</b>                       |    |
|   |              |   |           | Amount to be: refunded                  | \$ |
|   |              |   |           | charged                                 | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of <b>\$1,244.00</b> to cover the above fees is enclosed.  |              |   |           |   |    |
| b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  |              |   |           |   |    |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <b>02-1818</b> A duplicate copy of this sheet is enclosed.                       |              |   |           |   |    |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. |              |   |           |   |    |
| <b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>  |              |   |           |   |    |
| SEND ALL CORRESPONDENCE TO:   |              |   |           |   |    |
| William E. Vaughan (Reg. No. 39,056)<br>Bell, Boyd & Lloyd LLC<br>P.O. Box 1135<br>Chicago, Illinois 60690<br>312-807-4292  |              |   |           |   |    |
| SIGNATURE<br><br>William E. Vaughan<br>NAME<br><b>39,056</b><br>REGISTRATION NUMBER<br><b>February 18, 2002</b><br>DATE   |              |   |           |   |    |

BOX PCT

IN THE UNITED STATES ELECTED/DESIGNATED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5

**PRELIMINARY AMENDMENT**

|                                |   |                 |            |
|--------------------------------|---|-----------------|------------|
| APPLICANTS:                    | Andrea                                      | DOCKET NO.:     | 112740-549 |
|                                | Heilingbrunner et al.                       |                 |            |
| SERIAL NO:                     |   | GROUP ART UNIT: |            |
| FILED:                         |   | EXAMINER:       |            |
| INTERNATIONAL APPLICATION NO.: |   | PCT/DE00/01536  |            |
| INTERNATIONAL FILING DATE      |   | 15 May 2000     |            |
| INVENTION:                     | METHOD AND APPARATUS FOR DISTRIBUTING GOODS |                 |            |

Assistant Commissioner for Patents,  
Washington, D.C. 20231

10

Sir:

Please amend the above-identified International Application before entry into the National stage before the U.S. Patent and Trademark Office under 35 U.S.C. §371 as follows:

15

**In the Specification:**

Please replace the Specification of the present application, including the Abstract, with the following Substitute Specification:

[illegible]

An item which has been ordered, for example, by mail, telephone or the Internet, has in the past usually been delivered to the address desired by the purchaser by a delivery service, forwarding company or the like. To be able to take delivery of the item, the purchaser either must be at the place at the time of delivery or authorize a person to take delivery of the item. However, due to the organizational structure, it is not possible, in general, for the delivery service to announce in advance the precise time of delivery. Therefore, situations very often arise in which the delivery service finds no-one at the place of delivery to whom it can hand over the item.

In these cases, in the past the delivery service has usually left in the mailbox a note on which a new time is suggested or a pickup point (for example, the Post Office) is given. If a new delivery time is agreed, there is again the same problem, since here, too, the precise time rarely can be specified and it is only possible in general for this to be within a restricted time frame; for example, during the customary working hours. The possibility of picking up the item at a predetermined pickup point is generally not a genuine alternative, since the responsible agency at this pickup point typically also has restricted opening hours. Moreover, picking up the item is only possible after presenting proof of identity, which is quite inconvenient.

It is, therefore, an object of the present invention to specify an alternative to the methods mentioned.

25 SUMMARY OF THE INVENTION

This object is achieved by a method for distributing goods in which a good is deposited by a consignor and secured with a lock, and a common electronic key is assigned to the lock and to a personalized terminal device belonging to the consignee such that, when the key is transmitted from the terminal device to the lock, this key is compared with the key assigned to the lock and the good is released only if the keys match.

In a further embodiment of the present invention, a locking device is provided for securing a good deposited by a consignor, which includes a lock for locking the locking device, wherein the lock includes an assignment input for assignment of an electronic key and an opening input for receipt of a transmitted  
 5 electronic key, a comparator for comparing the transmitted electronic key with the assigned electronic key, and a release mechanism for opening the lock when the transmitted electronic key matches the assigned electronic key.

In yet another embodiment of the present invention, a terminal device is provided for unlocking a locking device securing a good deposited by a consignor,  
 10 which includes a receiver part for receiving an electronic key from the consignor, the electronic key being assigned both to the terminal device and to the locking device, a storage part for storing the electronic key, and a transmitting part for transmitting the electronic key to the locking device, wherein the transmitted electronic key is compared with the electronic key assigned to the locking device,  
 15 and the lock is unlocked and the good is released if the transmitted electronic key matches the electronic key assigned to the locking device.

Additional features and advantages of the present invention are described in, and will be apparent from, the following Detailed Description of the Invention.

#### DETAILED DESCRIPTION OF THE INVENTION

20 The term "consignor" is to be understood here as any person responsible for handing over the item; for example, the seller itself or a delivery service authorized by it. The term "consignee" is generally the person who takes delivery of an item; for example, the purchaser or a person nominated to pick up the item. The personalized terminal device preferably may be a mobile communications terminal  
 25 device, such as a cellular phone or a PDA (Personal Digital Assistant), with a dedicated unique personal identification, or else with a corresponding chip card; on which the personal identification is stored. The personal identification may be regarded in the broadest sense as including the telephone number of the device. It also may be, however, the chip card itself, such as a smart card, which is uniquely  
 30 assigned to the consignee and can be used in various devices or terminal devices.

The method according to the present invention offers the possibility of leaving the item at any desired time and securing it in such a way that only an authorized person, that is the purchaser itself or a person instructed by the latter, can pick up the item at any desired time. The assignment of the common electronic  
5 key to the lock and to the consignee's personalized terminal device provides the highest possible security for both parties, since the key is coupled with a unique identification of the consignee.

The method makes possible considerable time savings and personal savings and, consequently, cost advantages on the part of the delivery companies or mail-  
10 order trade. For the orderer or the recipient, the present invention has the advantage that, particularly in the case of what are known as e-commerce transactions, it is independent of the opening hours of an actual business, or in the case of delivery, of the delivery times or opening hours of a pickup place.

The electronic key is preferably transmitted to the consignee's terminal  
15 device with the aid of a remote data transmission method; for example, via mobile radio. This method has the advantage that, due to the relatively good area coverage of the mobile radio networks, the consignee can be transmitted its key at any time, independently of the location.

The consignee can send the key on the spot to the locker directly from the  
20 mobile communications terminal device. For this purpose, both the communications terminal device and the locker have corresponding transmitters and receivers. These are preferably short-range transmitters and receivers. These include, for example, infrared interfaces or else what are known as "Bluetooth" modules; i.e., short-range radio transmitters or receivers which serve for the  
25 exchange of data between neighboring devices. It goes without saying that it is also possible for the key to be output on a display of the cellular phone and entered again via the keypad on the device by the person carrying out the pickup.

It also goes without saying that here, too, transmission via the wide-ranging mobile radio network is possible. The use of short-range communication for the  
30 transmission of the key to the lock has the advantage, however, that the consignee



With the freely selectable assignment of the key to the lock, it is possible for a unique personal identification, such as a credit card number of the consignee, or a unique identification of the terminal device belonging to the consignee, such as the mobile radio number, to be chosen as the key and assigned to the lock. This method is appropriate, in particular, whenever the item is being ordered by cellular phone or is being paid for with a credit card. In this case, the key does not have to be sent by the consignor to the device in a separate operation.

In the case of another exemplary embodiment, the same key is always used. In this case, however, the transmission to the consignee's terminal device should only take place in such an encoded form that this key cannot be read by the consignee. The key is then stored in the terminal device and used for releasing the lock when delivery is taken of the good, without the consignee finding out what the key is.

For this purpose, it is also advantageous, in particular, if the key automatically becomes invalid when an invalidation condition occurs. Possible invalidation conditions could be, inter alia, the expiry of a prescribed time of use for the good or a prescribed number of accesses to the lock. For instance, in the case of the exemplary embodiment mentioned above, in which the same key is always used and is not recognizable for the respective consignee, the key automatically becomes invalid after being transmitted once to the lock. As such, no further access to the same lock is possible by the consignee once delivery has been taken of the good.

Further invalidation conditions may be, for example, repeated incorrect transmission of the key to the lock, or unsuccessful opening attempts, or else an inhibit command sent by the consignor to the lock.

The assignment of the key to the lock by the consignor, the inquiry of a key, the entering of inhibit commands or the like are preferably carried out with the aid of a remote data transmission method; for example, mobile radio.

It goes without saying that a transmission of the key both to the consignee's  
30 terminal device and to the lock by the consignor can be carried out over other data  
lines; for example, the Internet or e-mail.



The method according to the present invention may be carried out, in particular, with electronic locks which control the locking device of a locker. In this case, for handing over, the good is deposited in a corresponding, publicly accessible locker and therein secured. The consignee can at any desired time open  
 5 the lock with the key transmitted to him/her and remove the good. Another possibility, particularly in the case of relatively large goods such as motor vehicles which have a locking device of their own, is to control this locking device by a corresponding electronic lock.

In the present invention, therefore, a locking device for securing a good is  
 10 also specified for carrying out the method according to the present invention. This locking device correspondingly has a lock with an assignment input for the assignment of an electronic key and with an opening input for the entering of an electronic key for opening. In addition, it has a comparator, which checks the match of the two keys, and a release mechanism, which opens the locking device  
 15 when there is a match of the key.

Depending on the desired method, this locking device also may have an invalidation mechanism, which makes the key invalid when the prescribed invalidation condition occurs.

Further components are, for example, a random generator for the generation  
 20 of a key, which is assigned to the lock via a corresponding input and can be transmitted to the consignor or inquired by the latter via a corresponding output. Furthermore, such a locking device has a receiver, as well as a transmitter for transmitting the electronic key via a data transmission link. If the short-range communication between the consignee's terminal device and the lock is used, a  
 25 correspondingly short-range receiver, such as an infrared interface, is provided for the opening input.

If such locks are used within a locker system, the locks of a group of lockers also may be assigned a common electronic group key, all these lockers with the common group key being assigned to a specific delivery company or a specific  
 30 mail-order firm. If a group key is used, it goes without saying that it must be ensured when the key is transmitted to the locker system that the respective key

opens only the desired locker. This may take place, for example, via an additional assignment code or the like. In particular, when using a key which cannot be read by the consignee and is deleted again immediately after expiry of an access authorization, this group key has the advantage that it is not necessary in the organization of the sender or delivery service to work with continually changing keys.

A terminal device for carrying out the method must, according to the present invention, have parts for receiving, storing and passing on a corresponding electronic key to an electronic lock, the part for passing on the key to the lock preferably being a short-range transmitter.

In the case of a preferred exemplary embodiment, the device or the mentioned components of the terminal device are designed such that they receive, store and transmit the key to the lock in a form which cannot be read by the user.

Similarly, this terminal device may have an invalidation mechanism, for example with a clock or a counter, which automatically deletes the key when a specific invalidation condition occurs; for example, after expiry of a specific time or after a specific number of transmissions to the lock, possibly after once-only use. This invalidation condition can be transmitted by the consignor together with the key to the device. However, in principle, the invalidation condition also may be preset in a fixed way in the device.

The device is preferably a mobile communications terminal device; for example, a cellular phone or a PDA with a chip card, or the consignee's chip card itself. This may be a normal SIM card, on which the key is buffer-stored by the mobile communications terminal device. This also may be, however, a smart card, which itself is capable of controlling the corresponding desired functions largely independently of the communications terminal device respectively used. The use of a smart card also has the advantage that better coding of the key can be achieved in a simple way, so that a secure transmission is possible without a third party being able to gain possession of the key by listening in to the transmission.

It goes without saying that the receiver of the device or the transmitter of the communications terminal device may be what are known as transceivers, which

5           For instance, it is also possible for the payment conditions under which the locking device can be opened to be stored in a corresponding part on the device and for the key for opening to be accepted only after the execution of a payment instruction via the communications terminal device; for example, by a kind of online banking.

The transmission of such large amounts of data from a few central servers of a data producer represents quite a high loading of the network. With the method according to the present invention, this capacity problem can be solved by the item being deposited at decentralized mirrored servers. The respective operators of these servers, i.e. the data distributors, are, however, generally not necessarily identical with the data producers, but are rather a kind of delivery service for the actual supplier. Since, in the present case, the good is not taken over in the actual sense, but rather is copied, there is at the location of the server a corresponding device which records the transfer of the correct key to the lock. This may be used by the operator of the server as evidence to the original producer that the service has been performed.

In the case of a first exemplary embodiment, any desired product is ordered by an orderer via a cellular phone. The seller deposits the item in a locker and assigns a key to this locker via a corresponding data transmission link; for example, a radio link or a data line. This assignment of the key also may take place in advance, whereby the seller has reserved the corresponding locker and can deposit the item in this locker at any time. These lockers are in an area which is accessible to the public at any time. The orderer then receives a message on his/her cellular phone indicating at which locker and from what time he/she can pick up the item. At the same time, the electronic key is loaded onto the orderer's cellular phone, such as by downloading, by Java-applied or WAP. The orderer then goes to the locker at his/her desired time and uses his/her cellular phone to establish short-range communication with the locker or the corresponding device of the locker. The key is thereby transmitted to the locker. After all the necessary data have been exchanged and possibly further security steps, such as an inquiry that the payment has taken place, have been successfully conducted, the opening of the locker takes place and is released the item for the recipient.

The second exemplary embodiment involves an electronic lock on a rental car. First, a key is allocated to a special lock of a rental car by the consignor; i.e., the car rental center. This key is, for example, the credit card number which the consignee, or the renter, has given when ordering the rental car and via which the payment took place. The lock of the rental car has for this purpose a corresponding receiver for a cellular phone transmission, so that the car rental center assigns this key to the car lock via the normal mobile radio network. The consignee is likewise informed via the mobile radio network at which place and when he/she can pick up the car. With a corresponding part in the cellular phone, such as an infrared interface, the consignee can then open the car by transmission of his/her credit card number. It goes without saying that, instead of the credit card number, the personal key also may be a personal number on the SIM card or a smart card in the cellular phone, which is sent directly as the key at the push of a button, without any further entry on the cellular phone. After expiry of the rental time, the key is then

automatically invalidated in the lock of the motor vehicle, so that the renter no longer has access to the car.

Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be made thereto without departing from the spirit and scope of the invention as set forth in the hereafter appended claims.

# ABSTRACT OF THE DISCLOSURE

A method for distributing goods in which the good is deposited by a consignor and secured with a lock. A common electronic key is assigned to the lock and to a personalized terminal device belonging to the consignee. When the  
5 key is transmitted from the terminal device to the lock, this key is compared with the key assigned to the lock and the good is released only if the keys match.

**In the Claims:**

On page 12, cancel line 1 and substitute the following left-hand justified heading therefor:

**CLAIMS**

5           Please cancel claims 1-30, without prejudice, and substitute the following claims therefor:

31.     A method for distributing goods, the method comprising the steps of:

          depositing a good by a consignor;

10        securing the good with a lock;

          assigning a common electronic key both to the lock and to a personalized terminal device belonging to a consignee;

          transmitting the electronic key from the terminal device to the lock;

          comparing the electronic key transmitted from the terminal device with the

15        electronic key assigned to the lock; and

          unlocking the lock and releasing the good only if the electronic key transmitted from the terminal device matches the electronic key assigned to the lock.

20        32.     A method for distributing goods as claimed in claim 31, the method further comprising the step of utilizing a remote data transmission method for transmitting the electronic key to the terminal device.

25        33.     A method for distributing goods as claimed in claim 32, wherein the electronic key is transmitted to the terminal device in a form which cannot be read by the consignee.

30        34.     A method for distributing goods as claimed in claim 31, the method further comprising the step of employing a short-range data transmission method for transmitting the electronic key from the terminal device to the lock.

35. A method for distributing goods as claimed in claim 31, wherein transmission of the electronic key to the consignee's terminal device occurs only after a prescribed transaction has been carried out.

5 36. A method for distributing goods as claimed in claim 31, wherein the release of the good by the lock occurs only after a prescribed transaction has been carried out.

37. A method for distributing goods as claimed in claim 31, the method  
10 further comprising the step of transmitting information relating to at least one of a time and a place of the deposit, simultaneously with the electronic key, to the terminal device.

38. A method for distributing goods as claimed in claim 31, the method  
15 further comprising the step of automatically invalidating the electronic key when an invalidation condition occurs.

39. A method for distributing goods as claimed in claim 38, wherein the  
20 invalidation condition occurs after expiration of a prescribed time.

40. A method for distributing goods as claimed in claim 38, wherein the  
invalidation condition occurs after a prescribed number of openings of the lock.

41. A method for distributing goods as claimed in claim 31, wherein a  
25 new electronic key is assigned to the lock for each deposit.

42. A method for distributing goods as claimed in claim 31, the method  
further comprising the step of utilizing a random generator to determine and assign  
the electronic key to the lock.  
30



43. A method for distributing goods as claimed in claim 31, wherein the electronic key is assigned to the lock in a freely selectable manner via an entry into a memory.

5            44.     A method for distributing goods as claimed in claim 31, the method further comprising the step of utilizing a remote data transmission method for assigning the electronic key to the lock.

45. A method for distributing goods as claimed in claim 31, wherein the  
10 transmission of the electronic key to the terminal device occurs via mobile radio.

46. A method for distributing goods as claimed in claim 31, wherein the assignment of the electronic key to the lock occurs via mobile radio.

15            47.     A method for distributing goods as claimed in claim 43, wherein a  
unique personal identification of the consignee is chosen as the electronic key.

48. A method for distributing goods as claimed in claim 43, wherein a unique identification of the terminal device is chosen as the electronic key.

49. A method for distributing goods as claimed in claim 31, the method further comprising the step of allowing release of the good only after a release command of the consignor has been received.

25            50.     A method for distributing goods as claimed in claim 31, wherein the  
lock controls a locking device of a locker.

51. A method for distributing goods as claimed in claim 31, wherein the lock controls a locking device of the good itself.

52. A locking device for securing a good deposited by a consignor, comprising:

a lock for locking the locking device, the lock including an assignment input for assignment of an electronic key and an opening input for receipt of a transmitted electronic key;

a comparator for comparing the transmitted electronic key with the assigned electronic key; and

a release mechanism for opening the lock when the transmitted electronic key matches the assigned electronic key.

53. A locking device for securing a good deposited by a consignor as claimed in claim 52, further comprising a mechanism for automatically invalidating the electronic key when a prescribed invalidation condition occurs.

54. A locking device for securing a good deposited by a consignor as claimed in claim 52, further comprising:

a random generator for generating the electronic key and for assigning the electronic key to the lock via the assignment input; and

an output via which the generated key is transmitted to the consignor.

55. A locking device for securing a good deposited by a consignor as claimed in claim 52, further comprising at least one of a receiver and a transmitter for transmission of the electronic key via a data transmission link.

56. A locking device for securing a good deposited by a consignor as claimed in claim 52, further comprising a short-range receiver for receiving the transmitted electronic key.

57. A locking device for securing a good deposited by a consignor as claimed in claim 52, wherein the locking device is incorporated into a locker.

58. A locking device for securing a good deposited by a consignor as claimed in claim 57, wherein the locker is one of a plurality of similar lockers incorporated into a locker system.

5 59. A locking device for securing a good deposited by a consignor as claimed in claim 58, wherein the locks of a plurality of the lockers are assigned a common electronic group key.

60. A terminal device, having a unique personal identification, for  
10 unlocking a locking device securing a good deposited by a consignor, comprising:  
a receiver part for receiving an electronic key from the consignor, the electronic key being assigned both to the terminal device and to the locking device;  
a storage part for storing the electronic key; and  
a transmitting part for transmitting the electronic key to the locking device,  
15 wherein the transmitted electronic key is compared with the electronic key assigned to the locking device, and the lock is unlocked and the good is released if the transmitted electronic key matches the electronic key assigned to the locking device.

20 61. A terminal device for unlocking a locking device as claimed in claim 60, wherein the electronic key is automatically invalidated via an invalidation mechanism when an invalidation condition occurs.

25 62. A terminal device for unlocking a locking device as claimed in claim 61, wherein the invalidation condition occurs at a specific point in time.

63. A terminal device for unlocking a locking device as claimed in claim 61, wherein the invalidation condition occurs after a specific number of transmissions to the lock.

30

64. A terminal device for unlocking a locking device as claimed in claim 60, wherein the terminal device receives, stores and transmits the electronic key to the locking device in a form which cannot be read by a user of the terminal device.

5

65. A terminal device for unlocking a locking device as claimed in claim 60, further comprising a chip card which assists in at least one of receiving, storing and transmitting the electronic key.

# **REMARKS**

10 The present amendment makes editorial changes and corrects typographical errors in the specification, which includes the Abstract, in order to conform the specification to the requirements of United States Patent Practice. No new matter is added thereby. Attached hereto is a marked-up version of the changes made to the specification by the present amendment. The attached page is captioned

15 **“Version With Markings To Show Changes Made”**.

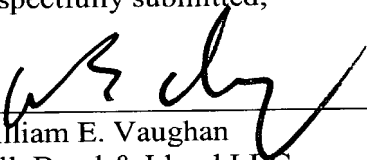
In addition, the present amendment cancels original claims 1-30 in favor of new claims 31-65. Claims 31-65 have been presented solely because the revisions by red-lining and underlining which would have been necessary in claims 1-30 in order to present those claims in accordance with preferred United States Patent

20 Practice would have been too extensive, and thus would have been too burdensome. The present amendment is intended for clarification purposes only and not for substantial reasons related to patentability pursuant to 35 U.S.C. §§101, 102, 103 or 112. Indeed, the cancellation of claims 1-30 does not constitute an intent on the part of the Applicants to surrender any of the subject matter of claims

25 1-30.

Early consideration on the merits is respectfully requested.

Respectfully submitted,

  
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## **Version With Markings To Show Changes Made**

### **Description-SPECIFICATION**

Method for distributing goods

### **METHOD AND APPARATUS FOR DISTRIBUTING GOODS**

5 ~~The invention relates to a method for distributing goods.~~

### **BACKGROUND OF THE INVENTION**

An item which has been ordered, for example, by mail, telephone or the Internet, has in the past usually been delivered to the address desired by the purchaser by a delivery service, forwarding company or the like. To be able to take  
10 delivery of the item, the purchaser ~~must~~ either must be at the place at the time of delivery or authorize a person to take delivery of the item. However, ~~on account of~~ due to the organizational structure, it is ~~unfavorably~~ not possible, in general, for the delivery service to announce in advance the precise time of delivery. Therefore, situations very often arise in which the delivery service finds no-one at the place of  
15 delivery to whom it can hand over the item.

In these cases, in the past the delivery service has usually left in the mailbox a note on which a new time is suggested or a pickup point (for example, the Post Office) is given. If a new delivery time is agreed, there is again the same problem, since here, too, the precise time ~~can~~ rarely can be specified and it is only possible in  
20 general for this to be within a restricted time frame,; for example, during the customary working hours. The possibility of picking up the item at a predetermined pickup point is generally not a genuine alternative, since the responsible agency at this pickup point typically also has ~~in general~~ restricted opening hours. Moreover, picking up the item is only possible after presenting  
25 proof of identity, which is quite inconvenient.

It is, therefore, ~~the~~ an object of the present invention to specify an alternative to the methods mentioned.

### **SUMMARY OF THE INVENTION**

This object is achieved by a method for distributing goods in which ~~the~~ a  
30 good is deposited by a consignor and secured with a lock, and a common electronic key is assigned to the lock and to a personalized terminal device belonging to the

consignee such that ~~and~~, when the key is transmitted from the terminal device to the lock, this key is compared with the key assigned to the lock and the good is released only if the keys match.

In a further embodiment of the present invention, a locking device is  
 5 provided for securing a good deposited by a consignor, which includes a lock for locking the locking device, wherein the lock includes an assignment input for assignment of an electronic key and an opening input for receipt of a transmitted electronic key, a comparator for comparing the transmitted electronic key with the assigned electronic key, and a release mechanism for opening the lock when the  
 10 transmitted electronic key matches the assigned electronic key.

In yet another embodiment of the present invention, a terminal device is  
provided for unlocking a locking device securing a good deposited by a consignor, which includes a receiver part for receiving an electronic key from the consignor, the electronic key being assigned both to the terminal device and to the locking  
 15 device, a storage part for storing the electronic key, and a transmitting part for transmitting the electronic key to the locking device, wherein the transmitted electronic key is compared with the electronic key assigned to the locking device, and the lock is unlocked and the good is released if the transmitted electronic key matches the electronic key assigned to the locking device.

Additional features and advantages of the present invention are described  
 20 in, and will be apparent from, the following Detailed Description of the Invention.

#### DETAILED DESCRIPTION OF THE INVENTION

The term "consignor" is to be understood here as any person responsible for handing over the item; for example, the seller itself or a delivery service authorized  
 25 by it. The term "consignee" is generally the person who takes delivery of an item; for example, the purchaser or a person nominated to pick up the item. The personalized terminal device ~~may~~ preferably may be a mobile communications terminal device, ~~for example~~ such as a cellular phone or a PDA (Personal Digital Assistant), with a dedicated unique personal identification, or else with a  
 30 corresponding chip card, on which the personal identification is stored. The personal identification may be regarded in the broadest sense as including the





It also goes without saying that here, too, transmission via the wide-ranging mobile radio network is possible. The use of a short-range communication means for the transmission of the key to the lock has the advantage, however, that the consignee cannot inadvertently transmit the key and trigger a release of the good  
5 although he/she is not ready at the place to take delivery.

To increase the security for the consignor, it is also possible for the transmission of the key to the consignee's terminal device only to take place after a prescribed transaction has been carried out; for example, a payment has been made. This securing measure may also take the form that the consignee has already  
10 transmitted the key before the payment and the lock only releases the good once an additional release signal of the consignor has been received. That is to say, on the one hand the correct transmission of the key and on the other hand the release signal are necessary for the opening of the lock.

It is also possible for the key to ~~comprise~~ include two parts, and for one  
15 part-key to be passed on immediately to the person carrying out the pickup and another part-key only to be passed on to the person carrying out the pickup when a payment has safely has been made. The locker can then only be opened with the key composed of these two parts.

In the case of these methods, the previously existing problem of payment  
20 for the item is ~~consequently~~ also solved in a simple way. Delivery ~~on account of~~ on the basis of a credit card or debit note is often too insecure for the seller. The cash-on-delivery method, which by contrast is relatively secure and in which the amount is paid to the delivery service on delivery of the item, entails increased costs.

Information on the time from which and at which place the item has been  
25 deposited ready for pickup is preferably is also transmitted at the same time as the key to the terminal device.

There are various possibilities for the assignment of the key to the lock.

In the case of one exemplary embodiment, the key is newly assigned to the locker for each deposit. This assignment may take place before, after or during the  
30 depositing act. In this case, either the consignor can freely select the key or a random key is generated automatically, ~~for example~~ such as with the aid of a

random generator, and this key is transmitted to the consignor, if appropriate, after a corresponding inquiry. The consignor can then pass on the key to the consignee when the order is placed.

With the freely selectable assignment of the key to the lock, it is possible in particular for a unique personal identification, ~~for example~~ such as a credit card number of the consignee, or a unique identification of the terminal device belonging to the consignee, ~~for example~~ such as the mobile radio number, to be chosen as the key and assigned to the lock. This method is appropriate, in particular, whenever the item is ~~in any case~~ being ordered by cellular phone or is being paid for with a credit card. In this case, the key does not have to be sent by the consignor to the device in a separate operation.

In the case of another exemplary embodiment, the same key is always used. In this case, however, the transmission to the consignee's terminal device should only take place in such an encoded form that this key cannot be read by the consignee. The key is then stored in the terminal device and used for releasing the lock when delivery is taken of the good, without the consignee finding out what the key is.

For this purpose, it is also advantageous, in particular, if the key automatically becomes invalid when an invalidation condition occurs. Possible invalidation conditions could be, inter alia, the expiry of a prescribed time of use for the good or a prescribed number of accesses to the lock. For instance, in the case of the exemplary embodiment mentioned above, in which ~~always~~ the same key is always used and is not recognizable for the respective consignee, the key automatically becomes invalid after being transmitted once to the lock, ~~so that~~ . As such, no further access to the same lock is possible by the consignee once delivery has been taken of the good.

Further invalidation conditions may ~~also~~ be, for example, repeated incorrect transmission of the key to the lock, ~~i.e.~~ or unsuccessful opening attempts, or else an inhibit command sent by the consignor to the lock.

The assignment of the key to the lock by the consignor, the inquiry of a key, the entering of inhibit commands or the like are preferably ~~likewise~~ carried out with the aid of a remote data transmission method; for example, mobile radio.

5 It goes without saying that a transmission of the key both to the consignee's terminal device and to the lock by the consignor can be carried out over other data lines; for example, the Internet or e-mail.

The method according to the present invention may be carried out, in particular, with electronic locks which control the locking device of a locker. In this case, for handing over, the good is deposited in a corresponding, publicly  
10 accessible locker and therein secured. The consignee can at any desired time open the lock with the key transmitted to him/her and remove the good. Another possibility, ~~in particular~~ particularly in the case of relatively large goods such as motor vehicles, which ~~in any case~~ have a locking device of their own, is to control this locking device ~~itself~~ by a corresponding electronic lock.

15 In the present invention, therefore, a locking device for securing a good is also specified for carrying out the method according to the present invention. This locking device correspondingly has a lock with an assignment input for the assignment of an electronic key and with an opening input for the entering of an electronic key for opening. In addition, it has a comparator, which checks the  
20 match of the two keys, and a release ~~means~~ mechanism, which opens the locking device when there is a match of the key.

Depending on the desired method, this locking device ~~may then additionally~~ also may have an invalidation ~~means~~ mechanism, which makes the key invalid when the prescribed invalidation condition occurs.

25 Further components are, for example, a random generator for the generation of a key, which is assigned to the lock via a corresponding input and can be transmitted to the consignor or inquired by the latter via a corresponding output. Furthermore, such a locking device has a receiver, ~~and also~~ as well as a transmitter for transmitting the electronic key via a data transmission link. If the short-range  
30 communication between the consignee's terminal device and the lock is used, a

correspondingly short-range receiver, ~~for example~~ such as an infrared interface, is provided for the opening input.

If such locks are used within a locker system, the locks of a group of lockers ~~may~~ also may be assigned a common electronic group key, all these lockers  
 5 with the common group key being assigned to a specific delivery company or a specific mail-order firm. If a group key is used, it goes without saying that it must be ensured when the key is transmitted to the locker system that the respective key opens only the desired locker. This may take place, for example, ~~by means of~~ via  
 10 an additional assignment code or the like. In particular, when using a key which cannot be read by the consignee and is deleted again immediately after expiry of an access authorization, this group key has the advantage that it is not necessary in the organization of the sender or delivery service to work with continually changing keys.

A terminal device for carrying out the method must, according to the  
 15 present invention, have ~~a means~~ parts for receiving, storing and passing on a corresponding electronic key to an electronic lock, the ~~means~~ part for passing on the key to the lock preferably being a short-range transmitter.

In the case of a preferred exemplary embodiment, the device or the mentioned components of the terminal device are designed ~~in~~ in such ~~a way~~ that they  
 20 receive, store and transmit the key to the lock in a form which cannot be read by the user.

Similarly, this terminal device may have an invalidation ~~means~~ mechanism, for example with a clock or a counter, which automatically deletes the key when a specific invalidation condition occurs; for example, after expiry of a specific time  
 25 or after a specific number of transmissions to the lock, possibly after once-only use. This invalidation condition can be transmitted by the consignor together with the key to the device. However, in principle, the invalidation condition ~~may~~ also may be preset in a fixed way in the device.

The device is preferably a mobile communications terminal device; for  
 30 example, a cellular phone or a PDA with a chip card, or the consignee's chip card itself. This may be a normal SIM card, on which the key is buffer-stored by the

mobile communications terminal device. This also may be, however, ~~also be~~ a smart card, which itself is capable of controlling the corresponding desired functions largely independently of the communications terminal device respectively used. The use of a smart card also has the advantage that better coding  
5 of the key can be achieved in a simple way, so that a secure transmission is possible without a third party being able to gain possession of the key by listening in to the transmission.

It goes without saying that the receiver of the device or the transmitter of the communications terminal device may be what are known as transceivers, which  
10 permit both reception and transmission, so that, when the key for opening is transferred, a two-way communication between the locking device and the communications terminal device can take place. Therefore, further inquiries and checks are possible as additional securing measures before the release of the good.

For instance, it is also possible ~~in particular~~ for the payment conditions  
15 under which the locking device can be opened to be stored in a corresponding ~~means part~~ part on said the device and for the key for opening to be accepted only after the execution of a payment instruction via the communications terminal device; for example, by a kind of online banking.

If the respective good is an item which ~~can~~ itself can be transmitted via a  
20 data link, ~~for example such as~~ music or software, the electronic lock can also can be used to store the desired data and secure it ~~by means of~~ via a corresponding electronic lock; on, for example, certain servers accessible to the public via the Internet, ~~the~~ The taking over of the good, that is to say in this case a downloading operation, on the respective server only ~~being~~ is possible by a key being transmitted  
25 to the server or the lock there from a corresponding terminal device belonging to the consignee. This procedure has advantages ~~in particular~~ particularly when supplying relatively large amounts of data, as is the case with music or video films.

The transmission of such large amounts of data from a few central servers of a data producer represents quite a high loading of the network. With the method  
30 according to the present invention, this capacity problem can be solved by the item being deposited at decentralized mirrored servers. The respective operators of

these servers, i.e. the data distributors, are, however, ~~in general~~ generally not necessarily identical with the data producers, but are rather a kind of delivery service for the actual supplier. Since, in the present case, the good is not taken over in the actual sense, but rather is copied, there is at the location of the server a  
 5 corresponding device which records the transfer of the correct key to the lock. This may be used by the operator of the server as evidence to the original producer that the service has been performed.

The present invention is explained once again below on the basis of two exemplary embodiments.

10 In the case of a first exemplary embodiment, any desired product is ordered by an orderer via a cellular phone. The seller deposits the item in a locker and assigns a key to this locker via a corresponding data transmission link; for example, a radio link or a data line. This assignment of the key ~~may~~ also may take place in advance, whereby the seller has reserved the corresponding locker and can  
 15 deposit the item in this locker at any time. These lockers are in an area which is accessible to the public at any time. The orderer then receives a message on his/her cellular phone indicating at which locker and from what time he/she can pick up his the item. At the same time, the electronic key is loaded onto the orderer's cellular phone, ~~for example~~ such as by downloading, by Java-applied or WAP. The orderer  
 20 then goes to the locker at ~~the time~~ his/her desired time ~~by him~~ and uses his/her cellular phone to establish short-range communication with the locker or the corresponding means device of the locker. The key is thereby transmitted to the locker. After all the necessary data have been exchanged and possibly further security steps, such as an inquiry that the payment has taken place, have been  
 25 successfully conducted, the opening of the locker takes place, and ~~consequently the release of~~ is released the item for the recipient.

The second exemplary embodiment involves an electronic lock on a rental car. ~~Firstly~~ First, a key is allocated to a special lock of a rental car by the consignor; i.e., the car rental center. This key is, for example, the credit card  
 30 number which the consignee, i.e. or the renter, has given when ordering the rental car and ~~by means of~~ via which the payment took place. The lock of the rental car

has for this purpose a corresponding receiver for a cellular phone transmission, so that the car rental center assigns this key to the car lock via the normal mobile radio network. The consignee is likewise informed via the mobile radio network at which place and when he/she can pick up his the car. With a corresponding means  
5 part in the cellular phone, ~~for example~~ such as an infrared interface, the consignee can then open the car by transmission of his/her credit card number. It goes without saying that, instead of the credit card number, the personal key ~~may~~ also may be a personal number on the SIM card or a smart card in the cellular phone, which is sent directly as the key at the push of a button, without any further entry  
10 on the cellular phone. After expiry of the rental time, the key is then automatically invalidated in the lock of the motor vehicle, so that the renter no longer has access to the car.

Although the present invention has been described with reference to specific embodiments, those of skill in the art will recognize that changes may be  
15 made thereto without departing from the spirit and scope of the invention as set forth in the hereafter appended claims.

Abstract

ABSTRACT OF THE DISCLOSURE

~~Method for distributing goods~~

~~A description is given of a~~ A method for distributing goods in which the  
5 good is deposited by a consignor and secured with a lock. A common electronic  
key is assigned to the lock and to a personalized terminal device belonging to the  
consignee. When the key is transmitted from the terminal device to the lock, this  
key is compared with the key assigned to the lock and the good is released only if  
the keys match.

10



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Zur Erklärung der Zweibuchstaben-Codes, und der anderen Abkürzungen wird auf die Erklärungen ("Guidance Notes on Codes and Abbreviations") am Anfang jeder regulären Ausgabe der PCT-Gazette verwiesen.

(54) Title: METHOD FOR DISTRIBUTING GOODS

(54) Bezeichnung: VERFAHREN ZUR DISTRIBUTION VON GÜTERN

(57) Abstract: The invention relates to a method for distributing goods. According to said method, the good is deposited by a person delivering said good, and secured with a lock. A common electronic key is then allocated to the lock and to a personalized terminal belonging to the recipient of the good. When said key is transmitted from the terminal to the lock, said key is compared with the key that is allocated to the lock. The good is only released if the keys match.

(57) Zusammenfassung: Beschrieben wird ein Verfahren zur Distribution von Gütern, bei dem das Gut von einem Übergeber deponiert und mit einem Schloß gesichert wird. Dem Schloß und einem personalisierten Endgerät des Übernehmers wird ein gemeinsamer elektronischer Schlüssel zugeordnet. Bei einer Übermittlung des Schlüssels von dem Endgerät an das Schloß, wird dieser Schlüssel mit dem dem Schloß zugeordneten Schlüssel verglichen und nur bei einer Übereinstimmung der Schlüssel das Gut freigegeben.

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Description

Method for distributing goods

5 The invention relates to a method for distributing goods.

10 An item which has been ordered for example by mail, telephone or the Internet has in the past usually been delivered to the address desired by the purchaser by a delivery service, forwarding company or the like. To be able to take delivery of the item, the purchaser must either be at the place at the time of delivery or authorize a person to take delivery of the item.

15 However, on account of the organizational structure, it is unfavorably not possible in general for the delivery service to announce in advance the precise time of delivery. Therefore, situations very often arise in which the delivery service finds no-one at the place of

20 delivery to whom it can hand over the item.

In these cases, in the past the delivery service has usually left in the mailbox a note on which a new time is suggested or a pickup point (for example the Post

25 Office) is given. If a new delivery time is agreed, there is again the same problem, since here, too, the precise time can rarely be specified and it is only possible in general for this to be within a restricted time frame, for example during the customary working

30 hours. The possibility of picking up the item at a predetermined pickup point is generally not a genuine alternative, since the responsible agency at this pickup point also has in general restricted opening hours. Moreover, picking up the item is only possible

35 after presenting proof of identity, which is quite inconvenient.

It is therefore the object of the present invention to specify an alternative to the methods mentioned.

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This object is achieved by a method for distributing goods in which the good is deposited by a consignor and secured with a lock and a common electronic key is assigned to the lock and to a personalized terminal  
5 device belonging to the consignee and, when the key is transmitted from the terminal device to the lock, this key is compared with the key assigned to the lock and the good is released only if the keys match.

10 The term "consignor" is to be understood here as any person responsible for handing over the item, for example the seller itself or a delivery service authorized by it. The term "consignee" is generally the person who takes delivery of an item, for example  
15 the purchaser or a person nominated to pick up the item. The personalized terminal device may preferably be a mobile communications terminal device, for example a cellular phone or a PDA (Personal Digital Assistant), with a dedicated unique personal identification or else  
20 with a corresponding chip card, on which the personal identification is stored. The personal identification may be regarded in the broadest sense as including the telephone number of the device. It may, however, in principle also be the chip card itself, for example a  
25 smart card, which is uniquely assigned to the consignee and can be used in various devices or terminal devices.

The method according to the invention offers the possibility of leaving the item at any desired time and  
30 securing it in such a way that only an authorized person, that is the purchaser itself or a person instructed by the latter, can pick up the item at any desired time. The assignment of the common electronic key to the lock and to the consignee's personalized  
35 terminal device provides the highest possible security for both parties,

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since the key is coupled with a unique identification of the consignee.

The method makes possible considerable time savings and  
 5 personal savings and consequently cost advantages on  
 the part of the delivery companies or mail-order trade.  
 For the orderer or the recipient, the invention has the  
 advantage that, in particular in the case of what are  
 known as e-commerce transactions, it is independent of  
 10 the opening hours of an actual business, or in the case  
 of delivery, of the delivery times or opening hours of  
 a pickup place.

The electronic key is preferably transmitted to the  
 15 consignee's terminal device with the aid of a remote  
 data transmission method, for example via mobile radio.  
 This method has the advantage that, on account of the  
 relatively good area coverage of the mobile radio  
 networks, the consignee can be transmitted its key at  
 20 any time, independently of the location.

The consignee can send the key on the spot to the  
 locker directly from the mobile communications terminal  
 device. For this purpose, both the communications  
 25 terminal device and the locker have corresponding  
 transmitters and receivers. These are preferably  
 short-range transmitters and receivers. These include,  
 for example, infrared interfaces or else what are known  
 as "Bluetooth" modules, i.e. short-range radio  
 30 transmitters or receivers which serve for the exchange  
 of data between neighboring devices. It goes without  
 saying that it is also possible for the key to be  
 output on a display of the cellular phone and entered  
 again via the keypad on the device by the person  
 35 carrying out the pickup.

It also goes without saying that here, too,  
 transmission via the wide-ranging mobile radio network

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- 3a -

is possible. The use of a short-range communication means for the transmission of the key to the lock has the advantage, however, that the consignee cannot inadvertently transmit the key and

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trigger a release of the good although he is not ready at the place to take delivery.

To increase the security for the consignor, it is also possible for the transmission of the key to the consignee's terminal device only to take place after a prescribed transaction has been carried out, for example a payment has been made. This securing measure may also take the form that the consignee has already transmitted the key before the payment and the lock only releases the good once an additional release signal of the consignor has been received. That is to say, on the one hand the correct transmission of the key and on the other hand the release signal are necessary for the opening of the lock.

It is also possible for the key to comprise two parts, and for one part-key to be passed on immediately to the person carrying out the pickup and another part-key only to be passed on to the person carrying out the pickup when a payment has safely been made. The locker can then only be opened with the key composed of these two parts.

In the case of these methods, the previously existing problem of payment for the item is consequently also solved in a simple way. Delivery on account or on the basis of a credit card or debit note is often too insecure for the seller. The cash-on-delivery method, which by contrast is relatively secure and in which the amount is paid to the delivery service on delivery of the item, entails increased costs.

Information on the time from which and at which place the item has been deposited ready for pickup is preferably also transmitted at the same time as the key to the terminal device.

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- 4a -

There are various possibilities for the assignment of  
the key to the lock.

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- 5 -

In the case of one exemplary embodiment, the key is newly assigned to the locker for each deposit. This assignment may take place before, after or during the depositing act. In this case, either the consignor can  
5 freely select the key or a random key is generated automatically, for example with the aid of a random generator, and this key is transmitted to the consignor, if appropriate after a corresponding inquiry. The consignor can then pass on the key to the  
10 consignee when the order is placed.

With the freely selectable assignment of the key to the lock, it is possible in particular for a unique personal identification, for example a credit card  
15 number of the consignee, or a unique identification of the terminal device belonging to the consignee, for example the mobile radio number, to be chosen as the key and assigned to the lock. This method is appropriate in particular whenever the item is in any  
20 case being ordered by cellular phone or is being paid for with a credit card. In this case, the key does not have to be sent by the consignor to the device in a separate operation.

25 In the case of another exemplary embodiment, the same key is always used. In this case, however, the transmission to the consignee's terminal device should only take place in such an encoded form that this key cannot be read by the consignee. The key is then  
30 stored in the terminal device and used for releasing the lock when delivery is taken of the good, without the consignee finding out what the key is.

For this purpose, it is also advantageous in particular  
35 if the key automatically becomes invalid when an invalidation condition occurs. Possible invalidation conditions could be, inter alia, the expiry of a prescribed time of use for the good or a prescribed





the key automatically becomes invalid after being transmitted once to the lock, so that no further access to the same lock is possible by the consignee once delivery has been taken of the good.

5

Further invalidation conditions may also be, for example, repeated incorrect transmission of the key to the lock, i.e. unsuccessful opening attempts, or else an inhibit command sent by the consignor to the lock.

10

The assignment of the key to the lock by the consignor, the inquiry of a key, the entering of inhibit commands or the like are preferably likewise carried out with the aid of a remote data transmission method, for example mobile radio.

15

It goes without saying that a transmission of the key both to the consignee's terminal device and to the lock by the consignor can be carried out over other data lines, for example the Internet or e-mail.

20

The method according to the invention may be carried out in particular with electronic locks which control the locking device of a locker. In this case, for handing over, the good is deposited in a corresponding, publicly accessible locker and secured. The consignee can at any desired time open the lock with the key transmitted to him and remove the good. Another possibility, in particular in the case of relatively large goods such as motor vehicles, which in any case have a locking device of their own, is to control this locking device itself by a corresponding electronic lock.

25

30

In the invention, therefore, a locking device for securing a good is also specified for carrying out the method according to the invention. This locking device

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correspondingly has a lock with an assignment input for  
the assignment of an electronic



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cannot be read by the consignee and is deleted again immediately after expiry of an access authorization, this group key has the advantage that it is not necessary in the organization of the sender or delivery  
5 service to work with continually changing keys.

A terminal device for carrying out the method must, according to the invention, have a means for receiving, storing and passing on a corresponding electronic key  
10 to an electronic lock, the means for passing on the key to the lock preferably being a short-range transmitter.

In the case of a preferred exemplary embodiment, the device or the mentioned components of the terminal  
15 device are designed in such a way that they receive, store and transmit the key to the lock in a form which cannot be read by the user.

Similarly, this terminal device may have an  
20 invalidation means, for example with a clock or a counter, which automatically deletes the key when a specific invalidation condition occurs, for example after expiry of a specific time or after a specific number of transmissions to the lock, possibly after  
25 once-only use. This invalidation condition can be transmitted by the consignor together with the key to the device. However, in principle, the invalidation condition may also be preset in a fixed way in the device.

30 The device is preferably a mobile communications terminal device, for example a cellular phone or a PDA with a chip card, or the consignee's chip card itself. This may be a normal SIM card, on which the key is  
35 buffer-stored by the mobile communications terminal device. This may, however, also be a smart card, which itself is capable

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10 It goes without saying that the receiver of the device  
or the transmitter of the communications terminal  
device may be what are known as transceivers, which  
permit both reception and transmission, so that, when  
the key for opening is transferred, a two-way  
15 communication between the locking device and the  
communications terminal device can take place.  
Therefore, further inquiries and checks are possible as  
additional securing measures before the release of the  
good.

If the respective good is an item which can itself be transmitted via a data link, for example music or software, the electronic lock can also be used to store the desired data and secure it by means of a corresponding electronic lock, on for example certain servers accessible to the public via the Internet, the taking over of the good, that is to say in this case a downloading operation, on the respective server only being possible by a key being transmitted to the server or the lock there from a corresponding terminal device

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belonging to the consignee. This procedure has advantages in particular when supplying relatively large amounts of data, as is the case with music or video films.





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corresponding means of the locker. The key is thereby transmitted to the locker. After all the necessary data have been exchanged and possibly further security steps, such as an inquiry that the payment has taken  
5 place, have been successfully conducted, the opening of the locker takes place, and consequently the release of the item for the recipient.

The second exemplary embodiment involves an electronic  
10 lock on a rental car. Firstly, a key is allocated to a special lock of a rental car by the consignor, i.e. the car rental center. This key is, for example, the credit card number which the consignee, i.e. the renter, has given when ordering the rental car and by  
15 means of which the payment took place. The lock of the rental car has for this purpose a corresponding receiver for a cellular phone transmission, so that the car rental center assigns this key to the car lock via the normal mobile radio network. The consignee is  
20 likewise informed via the mobile radio network at which place and when he can pick up his car. With a corresponding means in the cellular phone, for example an infrared interface, the consignee can then open the car by transmission of his credit card number. It goes  
25 without saying that, instead of the credit card number, the personal key may also be a personal number on the SIM card or a smart card in the cellular phone, which is sent directly as the key at the push of a button, without any further entry on the cellular phone. After  
30 expiry of the rental time, the key is then automatically invalidated in the lock of the motor vehicle, so that the renter no longer has access to the car.



7. The method as claimed in one of the preceding claims, characterized in that the key is automatically invalidated when an invalidation condition occurs.
- 5
8. The method as claimed in claim 7, characterized in that the key is automatically invalidated after the expiry of a prescribed time and/or after a prescribed number of openings of the lock.
- 10
9. The method as claimed in one of the preceding claims, characterized in that a new key is assigned to the lock for each deposit.
- 15
10. The method as claimed in one of the preceding claims, characterized in that the key is determined and assigned to the lock with the aid of a random generator.
- 20
11. The method as claimed in one of the preceding claims, characterized in that the key is assigned to the lock in a freely selectable manner by means of an entry into a memory.
- 25
12. The method as claimed in one of the preceding claims, characterized in that the key is assigned to the lock with the aid of a remote data transmission method.
- 30
13. The method as claimed in one of the preceding claims, characterized in that the transmission of the key to the terminal device and/or the assignment of the key to the lock takes place via mobile radio.
- 35
14. The method as claimed in one of claims 11 to 13, characterized in that a unique personal identification of the consignee and/or a unique

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identification of the terminal device is chosen as  
the key.

15. The method as claimed in one of the preceding claims, characterized in that the release of the good takes place only after a release command of the consignor has been received.
- 5 16. The method as claimed in one of the preceding claims, characterized in that the lock controls a locking device of a locker.
- 10 17. The method as claimed in one of the preceding claims, characterized in that the lock controls a locking device of the good itself.
- 15 18. A locking device for securing a good for carrying out the method as claimed in one of the preceding claims, with a lock with an assignment input for the assignment of an electronic key, with an opening input for the entering of an electronic key for opening, with a comparator, which checks the match of the two keys, and with a release means,  
20 which opens the locking device when there is a match of the keys.
- 25 19. The locking device as claimed in claim 18, characterized by a means for automatically invalidating the key when a prescribed invalidation condition occurs.
- 30 20. The locking device as claimed in claim 18 or 19, characterized by a random generator, which generates a key and assigns it to the lock via the assignment input, and an output via which the generated key is transmitted to the consignor.
- 35 21. The locking device as claimed in one of claims 18 to 20, characterized by a receiver and/or a transmitter for transmitting the electronic key via a data transmission link.



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30. The terminal device as claimed in one of the preceding claims, characterized in that the terminal device comprises a chip card.

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## Abstract

## Method for distributing goods

A description is given of a method for distributing goods in which the good is deposited by a consignor and secured with a lock. A common electronic key is assigned to the lock and to a personalized terminal device belonging to the consignee. When the key is transmitted from the terminal device to the lock, this key is compared with the key assigned to the lock and the good is released only if the keys match.



**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD AND APPARATUS FOR DISTRIBUTING GOODS**

the specification of which: (check one)

☐

is attached hereto.

☒

was filed on **15 May 2000**, as United States Application No. or PCT  
International Application No. **PCT/DE00/01536**  
and was amended on \_\_\_\_\_ (if applicable).

- I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent Office all information which is known to me to be material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code Section 119 or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

| Number       | Country | Day/Month/Year<br>Filed | Priority Not<br>Claimed  |
|--------------|---------|-------------------------|--------------------------|
| 199 38 894.6 | Germany | 17/08/99                | <input type="checkbox"/> |
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I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

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I hereby claim the benefit under 35 U.S.C. Section 120 of any United States application(s), or Section 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C.F.R., Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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